UNITED STATES DEPARTMENT OF LABOR

OFFICE OF ADMINISTRATIVE LAW JUDGES

In the Matter of:)
OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS, UNITED STATES, DEPARTMENT OF LABOR,) Case No. 2017-OFC-08004)
Plaintiff,)))
vs.)
GOOGLE, INCORPORATED,	
Defendant.)

PROCEEDINGS TELEPHONICALLY HELD

Wednesday, January 5, 2017

90 Seventh Street Suite 4-800 San Francisco, California

The above-entitled matter came on for prehearing conference, pursuant to notice, at 11:04 o'clock a.m.

BEFORE:

THE HONORABLE STEVEN BERLIN, Administrative Law Judge

APPEARANCES:

On behalf of the Plaintiff:

MARC A. PILOTIN, ESQ. IAN ELIASOPH, ESQ. JEREMIAH MILLER, ESQ. United States Department of Labor 90 Seventh Street, Suite 3-700 San Francisco, California 94103

On behalf of the Defendant:

LISA BARNETT SWEEN, ESQ. MATTHEW CAMARDELLA, ESQ. ANTONIO RAIMUNDO, ESQ. DANIEL DUFF, ESQ. Jackson Lewis 50 California Street, 9th Floor San Francisco, California 94104

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WITNESSES:

<u>DIRECT CROSS REDIRECT RECROSS</u> <u>ALJ</u>

(None called.)

EXHIBITS:

<u>IDENTIFIED</u>

RECEIVED

REJECTED

PLAINTIFF

(None marked, nor received.)

DEFENDANT

(None marked, nor received.)

ADMINISTRATIVE LAW JUDGE

(None marked, nor received.)

PROCEEDINGS

(11:04 o'clock a.m.)

This prehearing conference is going JUDGE BERLIN: to be on the record. So, this is OFCCP versus Google, Inc., 2017-OFC-4 and why don't I take the appearance of counsel to get started?

Good morning, Your Honor. On behalf MR. PILOTIN: of OFCCP, Marc Pilotin, Ian Eliasoph, and Jeremiah Miller.

> JUDGE BERLIN: Good morning,

Good morning, Your Honor. On behalf of MS. SWEEN: Google, you have Lisa Sween, Matt Camadella, and Antonio Raimundo -- oh, and Dan Duff, as well.

> JUDGE BERLIN: Good morning.

All right. And Mr. Pilotin and Ms. Sween, will you be the people I'll be hearing from this morning?

MR. PILOTIN: Yes, Your Honor.

That's correct. MS. SWEEN:

JUDGE BERLIN: All right, very good.

So I have a list of quite a few things that I want to go over in preparation for the hearing on Friday. ask first for the Defense, just logistically, do you know where our office is and how to get here?

MS. SWEEN: We do, Your Honor.

JUDGE BERLIN: Okay. So we're on the Fourth Floor in the Annex of the Federal Building and I'll look forward to

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seeing you at 9:00. And I'll look forward to seeing the Plaintiff's, as well.

So, let me -- before I do anything else -- just describe for you very generally the procedure that I expect to follow at the hearing. So, I will, after I take your appearances, confirm with each of you the witnesses who you expect to call during your case in chief.

I'll then ask you to submit exhibits for the record
-- all of your exhibits. I'll take the Plaintiff's Exhibits
first, after the Joint Exhibits. I'm assuming there will be
no objection to the Joint Exhibits. Is that correct?

MS. SWEEN: That's correct, Your Honor.

MR. PILOTIN: That's correct, Your Honor.

admitting the Joint Exhibits. And I'll take the Plaintiff's Exhibits first, ask the Defense if they have objections to any of those exhibits. I will most likely rule on any objections right then, although it's possible to take it under submission to await some testimony about an exhibit. But generally I rule on the objections immediately. So when I complete that and have admitted whichever Plaintiff Exhibits I'm admitting, I will ask the Defendant for its exhibits and go through the same process. Which means that by the time we start hearing from the first witness, most likely everyone will know what all of the admitted exhibits

And we won't need to have any further testimony and end 1 2 up getting exhibits admitted. Let me ask right now whether either party 3 anticipates any objections based on identification of the 4 exhibit or its authenticity? 5 6 MS. SWEEN: Not that we're aware of right now, Your 7 Honor. JUDGE BERLIN: Mr. Pilotin? 8 The same, Your Honor, not that we're 9 MR. PILOTIN: 10 aware of right now. 11 12 13

JUDGE BERLIN: Okay. Under our rules, any objection as to authenticity is due on file seven days before the hearing. Given the very expedited procedure for this and that the exhibits were exchanged only so recently, I will give the parties until 2:00 tomorrow afternoon to file in writing any objection based on identification or authenticity of an exhibit. If there's no written objection filed by 2:00 tomorrow afternoon by fax and served on the opposing party, then any objections on those grounds are waived.

And please bear in mind that under the expedited procedures, we will not be following any formal Rules of Evidence. So, as a general matter, I will overrule hearsay objections unless the circumstances really raise some genuine questions about the trustworthiness of the evidence. you know, I'm not going to talk about other potential

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exhibits -- I'm sorry, other potential objections, but just bear that in mind.

Objections to the form of questioning are certainly allowed. I do sustain from time to time objections based on questions being leading. This isn't a jury case, so, you know, bear that in mind, as well.

I urge Counsel, you know, when you're leading on preliminary matters, obviously that's fine. When questions of the evidence is really not in question, leading questions are fine. But if we come to something that is disputed, if you lead, I might give less weight to the answer than if you don't. So, just bear that in mind. Even if there's no objection and the answer comes in, you might do better not to lead on questions like that, especially when you're relying on the expertise of the witness, because in that event, I want to hear from the expert and not from the attorney about what the evidence should be.

In any event, I will turn first to the Plaintiffs, to hear the Plaintiffs' witnesses and their case in chief.

I left out opening statements, I didn't mean to do that. I will ask each side if they'd like to make an opening statement. Please limit opening statements to a discussion of what you anticipate the evidence will show. Then I'll take the witness testimony for the cases in chief, first the Plaintiff and then the Defendant.

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I want to talk more about a rebuttal case, but I will allow a rebuttal case, so long as it actually is rebuttal. And generally I discourage closing arguments, but given this expedited procedure, we will be working soon on the decision, soon enough that we will be able to remember, I hope, what attorneys say in closing argument. And, you know, under the rules, I'll be waiting for anyone to file a brief I mean, I'll be watching for it, but I will who wants to. not hold up a decision to await briefs. So that would be another reason for closing argument. So, this is to say that although I usually discourage closing argument, for this particular case, I will invite closing arguments and you're free to make any appropriate close that you think is warranted.

Your Honor, this is Lisa Sween. Can I MS. SWEEN: ask a question?

> JUDGE BERLIN: Please.

Okay. So, just going back to the MS. SWEEN: direction with respect to proceeding with a case in chief first, I just want to make sure I'm clear on how you like to run your courtroom. So Plaintiffs are going to put on their witnesses and we'll have an opportunity to cross-examine You would like us to then wait to put on our case in them. chief, even if it involves the same witnesses, is that correct?

I'm really glad you asked that, 1 JUDGE BERLIN: 2 because there could be adverse witnesses. They're certainly listed by OFCCP, is that right? 3 No, I'm sorry. You have listed -- Defense has 4 listed adverse witnesses. 5 6 MS. SWEEN: Correct. I don't recall -- but I guess -- so JUDGE BERLIN: 7 8 you might be calling, for example, Ms. Wipper in your case as 9 an adverse witness. So, in the event any party anticipates calling an 10 adverse witness and the witness is earlier called by the 11 other party, I will allow the party who is going to offer the 12 testimony as an adverse witness to choose whether they prefer 13 to examine the witness as part of cross-examination right 14 15 then or whether they prefer to call the witness separately 16 during their case in chief. So either way. 17 MS. SWEEN: And are we able to make that decision on a witness-by-witness incident or do we need to make that 18 decision with respect to all witnesses and stick with that 19 20 same decision? 21 JUDGE BERLIN: No, this should be a relatively short hearing and I'll allow the -- each party to make that 22 decision with respect to each witness. 23 Thank you. 24 MS. SWEEN: 25 JUDGE BERLIN: Okay.

Now, Your Honor, if I may, I have MR. PILOTIN: 1 also a follow-up question regarding some of the stuff you 2 mentioned already. 3 JUDGE BERLIN: Yes, please. 4 On the closing argument and the MR. PILOTIN: 5 briefing, is it one or the other or may the parties do both, 6 7 have a closing argument and submit briefing? JUDGE BERLIN: You may do both. 8 MR. PILOTIN: Okay. Thank you, Your Honor. 9 And would it be possible to set a deadline for the 10 closing briefs by which both parties would submit briefs for 11 12 the Court? JUDGE BERLIN: No, because I won't wait at all. 13 So, that's what the regulations say. So my decision is due 14 15 out 15 days after the conclusion of the hearing and any brief 16 that comes in, I will consider. And if my decision is ready 17 before the brief has arrived and the brief comes in 18 afterward, it will not be considered. So, you know, you run the risk. 19 I am probably not going to do much work on a 20 decision until the transcript is available. I've asked the 21 court reporter to have transcripts available no later than 22 close of business -- assuming we finish Friday, which, of 23 course, we won't know until the end of the day Friday -- but 24 25 if we finish Friday, the 7th, then the court reporter has

1	agreed to have transcripts available by email by close of
2	business on Wednesday, the 12th. So, I'm not likely to do
3	any substantial work on the decision before Thursday, the
4	13th, and I very much doubt that I'm going to get it written,
5	you know, on Thursday and Friday and complete. So, there's a
6	little if my help is helpful in getting an idea of when
7	you might want to have one of your briefs on file.
8	MR. PILOTIN: Understood, Your Honor. Thank you.
9	JUDGE BERLIN: Okay. Let me ask, for OFCCP, do you
10	plan to have an Agency representative at counsel table during
11	the hearing?
12	MR. PILOTIN: We do, Your Honor.
13	JUDGE BERLIN: And who is that going to be?
14	MR. PILOTIN: It will be the Regional Director,
15	Regional Director Wipper.
16	JUDGE BERLIN: All right. And for Google, do you
17	plan to have a corporate representative present?
18	MS. SWEEN: We do, Your Honor, Amy Lambert, who's
19	with Google Legal Department.
20	JUDGE BERLIN: Very good, okay.
21	And I do exclude and sequester witnesses who have
22	not yet testified on the request of either party. So, does
23	either party wish to invoke that rule?
24	MR. PILOTIN: OFCCP does, Your Honor.
25	JUDGE BERLIN: All right. So please bear in mind

that if you have witnesses, they will have to wait outside 1 2 until after they've testified and I ask both parties to make that that happens with their witnesses. If it doesn't 3 happen, there's a possibility their witness will be excluded. 4 And just for clarity, because I didn't 5 MS. SWEEN: 6 respond, Google intends to invoke that, as well. JUDGE BERLIN: Okay. One party would be enough, 7 but I'm glad that the two of you agree. 8 MR. PILOTIN: And just to be clear for the record, 9 Your Honor, we do understand that Regional Director Wipper 10 11 will be there as the corporate -- or, not the corporate representative. However, she will be testifying, so we don't 12 anticipate that to be an issue. 13 Yes, and she might be called 14 JUDGE BERLIN: separately as an adverse witness after she's heard from other 15 witnesses who have testified and that's fine, because the 16 Agency can have a representative of their choice, just as 17 And, you know, I don't anticipate that Google can, as well. 18 19 Ms. Lambert will testify. 20 Am I right, she's not on the witness list? 21 MS. SWEEN: That's correct, Your Honor. But if, you know, something comes up 22 JUDGE BERLIN: by rebuttal or whatever and she's called to testify, she will 23 24 have heard all of the testimony, as well. So each side gets 25 one person in the courtroom.

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So any questions remaining about this All right. whole -- the process and what the hearing will look like?

Your Honor, I do have a couple MS. SWEEN: procedural questions. I don't know if it's appropriate to raise them now or not. If you want to put them over until the end of the call, I'm happy to, but maybe I can just tell me what they are and you can let me know what your preference is.

> JUDGE BERLIN: Sure.

A few things. One, Google does intend MS. SWEEN: to use demonstrative Excel spreadsheets at the hearing by use We will have a trial tech team that's of a computer screen. helping us with that. We just wanted to make sure that the Court was aware of that and if there's any issue that the Court has with that.

JUDGE BERLIN: Any objections to that, Mr. Pilotin? MR. PILOTIN: No, we don't have any objection to the use of demonstrative, but we would request some disclosure of the demonstrative in advance of their presentation to determine whether there should be any sort of objection.

These are simply Excels that have MS. SWEEN: already been produced to OFCCP, Your Honor, so it's not demonstrative, per se, as compared to just what has actually been produced to OFCCP in the compliance.

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If that's the case, Your Honor, then MR. PILOTIN: we have no objection.

JUDGE BERLIN: All right. So, Ms. Sween, this is a very rudimentary courtroom. And, you know, if you think of a moot court courtroom at a law school, it might be something You know, a bench, tables and chairs. Not much past that.

So, whatever equipment you need to show your evidence, you need to bring that equipment and set it up. Ιf you would like to be here Thursday, tomorrow, some time -- or your technical people to set that up, I'll arrange to allow I don't think the courtroom is being used for that. So, I'll check just to make sure.

The alternative would be for them to come on Friday I don't know how long that will take them. morning. know, the office typically opens at 8:00 and they could come in at that time if they're confident that they'll be done in no more than about 55 minutes, that's fine. Otherwise, it's probably better to do it on Thursday.

Let me also say again, since there is no jury, if it's an Excel spreadsheet that is of a kind that would fit on a piece of paper, there's really no problem with your just handing me and Mr. Pilotin a copy of the printed Excel I will look at it and, you know, I'm -- some times things that appear on a screen can be helpful, but as

long as we're all looking at the same thing, you know, suit 1 2 yourself in this regard. But it's not required to have a lot of time to have equipment set up if it can be done with 3 printed paper. So I'll leave that to you. 4 MS. SWEEN: Okay. I have a few more questions. 5 6 JUDGE BERLIN: Yes? 7 Does the Court plan to entertain any MS. SWEEN: motions in limine after -- before opening statements? 8 9 JUDGE BERLIN: Probably not. If there's an issue, 10 you might want to bring it up today at this conference and maybe we can -- I'll either rule on it or we'll get some 11 resolution on it. Or, at least, Mr. Pilotin and the OFCCP's 12 team can have some time to think about it and then maybe 13 we'll pick it up again at the hearing. 14 So, is that possible? Can you let me know during 15 the conference today what those would be? 16 So, Your Honor, Google does not plan on 17 MS. SWEEN: filing any motions in limine. Before Your Honor is the 18 letter brief from OFCCP, which I don't know if Your Honor was 19 20 planning on addressing today or at the conference and Jackson 21 Lewis provided a response on April 4th. JUDGE BERLIN: I have the letter and the response 22 and I was planning that we would discuss that today. 23 24 MS. SWEEN: Okay. So, Google's not planning on 25 filing any motions in limine and I guess I wanted to get a

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sense from OFCCP whether they were planning to and, if so, the Court's perspective on entertaining those.

> JUDGE BERLIN: Mr. Pilotin?

MR. PILOTIN: We don't have any additional motions in limine at this point, Your Honor. It's just the ones that we submitted the letter briefs on last week.

JUDGE BERLIN: All right. So, anything else you wanted to raise at the outset, Ms. Sween?

I have one last question -- actually, I MS. SWEEN: have two last questions, Your Honor. One is, I did look at the rules and it is -- the rules seem to suggest we do not need to subpoena OFCCP's witnesses that are on our exhibit list, because they are employees of OFCCP. However, I just want to make sure that we are doing what the Court would like us to do. We are happy to subpoena them if either Mr. Pilotin feels that's necessary -- obviously we would rather streamline the process, if possible, and if Mr. Pilotin is willing to produce those witnesses that are on our exhibit list without a subpoena, we can certainly do that, so long as everybody's in agreement on that.

JUDGE BERLIN: Well, I do want to go over OFCCP's views on whether these witnesses would need to be called. But assuming that they need to be there, then, Mr. Pilotin, I would ask OFCCP to produce them voluntarily.

> And we agree to that, Your Honor. MR. PILOTIN:

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know when you have that as an issue teed up in the letter brief, but if they do need to appear, we will produce them voluntarily without a subpoena.

> JUDGE BERLIN: Thank you.

All right. One of the questions I have after reviewing the papers on summary judgment, it appears that the materials that OFCCP is requesting deal with compensation. And is there anything else that forms a basis to argue that the materials sought are relevant, other than that they are relevant to compensation issues? Mr. Pilotin?

MR. PILOTIN: Yes, Your Honor. I mean, they -you're correct that they do address compensation issues. And that has been the Agency's position all along, kind of on their face.

The evidence at trial -- just to kind of give you a preview of what will be presented at the upcoming hearing -is that you're backing up just the general relevance of this material that's routinely requested in other types of compensation analyses, is that many of Google's own witnesses or, rather, Google's own managers refer to many of the factors for which OFCCP requested information.

So, you know, separate from them just on their face being generally relevant compensation practices, Google, itself, has admitted that many of the requested factors are relevant to compensation issues. And that's, in fact, why

many of them were requested.

JUDGE BERLIN: So one of the witnesses that's been identified, one of the things that that witness is going to talk about is applicant flow. And I don't see anything in the requested information on which OFCCP will assert that this is relevant to the investigation because it concerns applicant flow. Am I right?

MR. PILOTIN: That is my understanding, Your Honor. Our case is focused on requests that pertain to compensation. I understand that Google -- and this is one of the issues that we had raised -- identified a witness that, you know, speaks to the other part of this investigation, which does deal with applicant flow, but isn't relevant to the requests that are currently before the Court. So that was part of our concern as to why all of these witnesses, you know, were being called.

JUDGE BERLIN: Okay. Now, the Complaint says in seeking relief one of the things mentioned is debarment?

MR. PILOTIN: That is correct, Your Honor.

JUDGE BERLIN: Okay. And is OFCCP seeking debarment now, based on this proceeding or only in a later proceeding if I order Google to produce materials that they failed to produce?

MR. PILOTIN: That's correct, Your Honor. The debarment would be the sanction for the failure to comply

with your order. 1

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So the question, then, of Google's JUDGE BERLIN: good faith is not really relevant for this proceeding. right, Mr. Pilotin?

That is the Agency's position, Your MR. PILOTIN: This proceeding is focusing on whether or not, you Honor. know, these requests were produced or not and, you know, that's the primary issue.

Now, Google does have its defense, but we do not see good faith being relevant to this discussion. It's a binary issue at this point. Good faith, at most, along with, you know, the failure to comply with your order and, you know, enforcing --

JUDGE BERLIN: Well, Ms. Sween, for the purpose of this proceeding, Google does not need to prove good faith in order to involve debarment. Debarment is not even at issue in this proceeding. It could be in a subsequent proceeding if I order Google to produce something that's been requested and that order stands on appeal and Google fails to do it. But it is not presently -- debarment is not presently an issue.

So, did Google want to demonstrate good faith for some other reason that is relevant?

MS. SWEEN: Well, thank you, Your Honor. explanation actually is very helpful to Google. Certainly

good faith would have been an affirmative defense to the 1 2 Complaint, as pled. And so the facts that we have 3 clarification now that that is a prospective sanction stemming from any proceedings in this matter and your order 4 is helpful. 5 6 We have one witness that we were planning on 7 putting on for the purpose of demonstrating good faith up to 8 this point. And that witness will also testify, Your Honor, 9 to the extent that Google has spent hundreds of thousands of 10 dollars in responding to the reguest to date. 11 JUDGE BERLIN: Well, what I'm -- you know, that 12 witness can testify about the expense of complying with 13 OFCCP's requests, but it appears that it would be irrelevant 14 to hear testimony to demonstrate good faith, because it 15 simply is not at issue. 16 Does anyone have an argument why good faith is 17 relevant or can we just exclude that? Mr. Pilotin? 18 MR. PILOTIN: We're fine with excluding that topic 19 and streamlining this hearing, Your Honor. 20 JUDGE BERLIN: Ms. Sween? 21 MS. SWEEN: In light of the Court's assessment of 22 that and Mr. Pilotin's representation, we're fine with excluding good faith at this proceeding. 23

JUDGE BERLIN: Okay. So, I notice in the Plaintiff's pretrial there's the possibility of recalling the REPORTERS AND TRANSCRIBERS

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Regional Director -- I'm sorry, I'm not remembering Ms. 1 Wipper's title. 2 Yes, Your Honor, she's the Regional 3 MR. PILOTIN: Director. 4 JUDGE BERLIN: Of recalling her for rebuttal and 5 6 also calling two additional witnesses, basically to talk about the burden of Google. I don't mean to, you know, 7 oversimplify what you put in the pretrial and I don't mean 8 9 to, in any way, restrict what those witness would testify beyond -- you know, they can testify to anything described in 10 the pretrial. 11 But what I do want to talk about is my views on 12 rebuttal. So, in my view, the party who carries the general 13 burden in the case should present in its case in chief 14 15 everything that addresses the issues that they understand to 16 be in dispute. And that includes, in this case, the burden 17 that there's been on Google. So, if OFCCP has some evidence it wants to offer on 18 19 that point, it should offer that as part of its case in 20 chief. That's how I look at it. It's also more efficient to get all of that out on 21 the table and, you know, address it. 22 23 In addition, I'm not certain yet what ruling I'm 24 going to reach on which party has the burden when it comes to -- I mean the burden of production and persuasion, not the 25

burden of work on gathering the evidence -- materials -- for OFCCP. But which party has the burden on the Fourth Amendment question. So, each side contends that the other side has the burden and each side has cited some authority, which I've reviewed. And I'm going to have to look more at the question. I'm not ready to rule on that now. So, it's possible that in a Fourth Amendment context the Government has the burden.

Certainly, on a warrant -- a search warrant, the Government has the burden of persuading the Magistrate of probable cause. But that's typically in an ex parte discussion, so there would be no one else there for the person being searched. But I'm not going to make any ruling on it. I'm just saying this is consistent with my view that OFCCP should put on its evidence about burden as part of its case in chief.

Now, that doesn't mean that OFCCP will not be allowed a rebuttal case, because having heard from Google on the subject OFCCP might want to offer further evidence. But I encourage OFCCP to put that initial case forward as part of their case in chief and not risk a ruling on rebuttal that they should have raised it before and that, therefore, it's excluded.

MR. PILOTIN: We appreciate the Court's encouragement, Your Honor, and we will go ahead in an attempt

to make things more efficient and streamlined and include that in our case in chief.

JUDGE BERLIN: Okay.

MR. PILOTIN: We will do that.

JUDGE BERLIN: So, Ms. Sween, I notice that one of Google's listed witnesses is Dr. Aamodt -- let me spell that -- A-a-m-o-d-t. Dr. Aamodt -- again, I'm just going to summarize. But he is going to be giving a statistical analysis on the employee compensation data and drawing some conclusions.

I'm not sure that that's actually going to be very helpful. Even if Google has an expert saying that what was already produced does not suggest discrimination and that, for example, the statistician has performed a multiple regression analysis that will explain any imbalance in the work force. That is not going to preclude OFCCP from getting the data so that they can reach their own conclusion on the subject. If there was ever a hearing on the merits of any alleged violation, of course, you know, we would definitely want to hear from Google's expert. But this is more in the nature of a subpoena enforcement proceeding and OFCCP, in my view, has the right to reach its own conclusions on the advice of its own experts.

So, no matter how convincing this expert might be about Google's -- that Google has not violated any of its

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obligations, I don't think that would persuade me that OFCCP is not entitled to the materials.

So, I question if that witness is really needed.

MS. SWEEN: So, Your Honor, thank you. preparing for the hearing, our expert is not going to be opining on the ultimate issues in the case. In other words, is there evidence of pay disparity. So, he's not going to be opining on whether or not there is or is not evidence of discrimination.

The purpose of Dr. Aamodt is he is -- he is a consultant who regularly assists both sides -- both plaintiffs and defendants -- in conducting pay disparity analysis and compensation reviews. And he is going to be put on for the purpose of demonstrating that OFCCP has absolutely everything it could possibly need at this point to do whatever regression analysis they want to do or need to do. And that the additional information that they requested will shed absolutely no light on any potential discrimination. Because what the OFCCP has asked for has absolutely no bearing on how Google sets compensation.

And so he will opine based on his experience in conducting -- again, for both sides -- numerous, hundreds of similar compensation reviews and after reviewing Google's policies, procedures, et cetera, that the information that is being requested is burdensome, because it will shed

absolutely no additional light on the ultimate issues in the case.

JUDGE BERLIN: Okay, I understand.

So, let me ask about another witness. One of the OFCCP employees that Google has listed as a witness is named Farha Haq -- and let me spell that for the record -- F-a-r-h-a, like apple, last name H-a-q. So this person is a compliance officer I understand who was involved in issues concerning applicant flow data.

It appears that OFCCP will not be asserting that the materials they're seeking are relevant to their investigation because they concern applicant flow. And OFCCP's good faith efforts to comply are not relevant. So, I'm wondering if Google -- I'm sorry, Google's efforts to comply are not relevant.

So I'm wondering why we would want to hear from this witness.

MS. SWEEN: So, Your Honor, Ms. Haq -- I think that's how you pronounce the last name -- is being put on for a very limited purpose and we expect her to come on and off in, you know, less than 20 minutes. The limited purpose that we are putting her on for is to demonstrate to the Court that contrary to OFCCP's position that this is a typical compliance audit -- in other words, that the narrowing of the field and the narrowing of the scope is not required, Ms. Haq

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in conducting the second track of this audit -- there's two tracks, the compensation track and the applicant track, we'll call it -- when she conducted the applicant track after receiving information from Google, she was able to narrow her focus into those categories of information that she felt she needed to look into further.

And that's all that Google's ever asked for in this case on the compensation side. And we want to be able to demonstrate to the Court that, in fact, not only can they, but they do narrow their focus when -- when they need additional information to help them make determinations.

JUDGE BERLIN: Okay. Well, let me just tell you as the Judge, you know, to me that kind of proof cuts both ways. Because it demonstrates that when OFCCP can narrow its focus, it does. So, I'm not really sure, you know, what useful inference is going to come from this. If applicant flow is no longer in the case, good faith is not in the case, the fact that OFCCP narrowed what it demanded with respect to applicant flow might prove too much and it doesn't look to me as though Ms. Hag would be a useful witness.

The OFCCP has not asked to call her to demonstrate how reasonable they are in narrowing the scope. I don't think this is a useful witness. How about not requiring them to bring this witness?

> So, Your Honor, I certainly appreciate MS. SWEEN:

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your perspective and we will take that under advisement in light of the fact -- and I know that we're all trying very hard to get this done in a day and we don't know right now whether we can. How about if I propose that we will talk to our client about it and be able to advise the Court first thing Friday morning whether she would need to be called?

JUDGE BERLIN: How about by 2:00 tomorrow? Because I believe she has to travel.

MR. PILOTIN: That is correct, Your Honor. Ms. Haq -- if I may, Ms. Hag is, you know, coming up from Los Angeles. So, the sooner we can know whether or not she'll be necessary, the better, and then she doesn't have to make the unnecessary travel.

> We can make that work, Your Honor. MS. SWEEN: JUDGE BERLIN: Thank you.

Now, with respect to the other OFCCP witnesses, we will be hearing from the District Director. I understand why Google, if it wanted to demonstrate good faith, would want to include the people at OFCCP who actually had been working on this project. But we're not looking at good faith. really need the Assistant -- do we really need these two people who are actually working on it -- Ms. Huang and -that's H-u-a-n-q -- and Carolyn J.? I do not know -- I mean, part of her hyphenated name is mentioned, but I don't even --I do not know how to pronounce M-c-H-a-m. But whatever that

is, dash-Menhcyk. Can we not get the evidence that they
would offer from Ms. Wipper? Ms. Sween?

MS. SWEEN: Yes. Your Honor, both of those witnesses are the boots on the ground people who are driving the process. They are the ones who are in charge of the compliance process on the ground. So their understanding of Google's compensation process, procedures, the factors that Google considers in making compensation determinations is crucial to determine whether or not the OFCCP has the correct understanding and, therefore, whether or not their additional demands and requests are, in fact, relevant.

If they don't have -- if these two witnesses who have been driving this process still don't have an understanding of what Google uses to set compensation and they continue to ask for information that has no bearing on that, I think that that would be helpful for the Court to understand with respect to whether or not their requests are, indeed, relevant.

JUDGE BERLIN: Mr. Pilotin?

MR. PILOTIN: Well, Your Honor, I mean with respect to the factors, I agree that I think Regional Director Wipper will be able to articulate what the Agency's understanding is as to the relevance of these materials. You know, Regional Director Wipper has an understanding as to what Google has represented to the relevance of the factors and in the event

that Google wants to contest the Agency's understanding, it can present its own witnesses to do that.

I don't understand, you know, what additional evidence calling two individuals from Los Angeles will add to that discussion. Ultimately, if Google disagrees with the Agency's understanding, it can present its witness to say the Agency has misunderstood.

JUDGE BERLIN: So, Ms. Sween, I think that -- first let me say that I view it as OFCCP's burden to demonstrate the -- that there is some relevance to the compensation issue of the data that they are seeking. And if they make no showing in that regard at all, I still have to be deferential, because I would most likely allow them the material unless it was plainly not related to compensation.

So, still, they are going to put on a witness that will talk about why these factors are relevant, according to what Mr. Pilotin just said.

I'm not sure, again, why Google would want to give them three chances to do that when they're satisfied to have one witness who, if she fails, she fails. It's their burden. And as long as any of them can explain the relevance, I'm going to be satisfied with that. So why give them three shots at it?

MS. SWEEN: Thank you, Your Honor. Two comments:

One, Ms. Wipper has not participated, except from a very high

She was not at the on-site. level, in this review. Her name is not even on a majority of the correspondence. Ms. Huang is the one that sent the letter regarding the subject demand and Ms. -- now I'll have to pronounce her name correctly --McHam-Menchyk -- Carolyn -- served as the lead compensation interviewer. So, Google does feel strongly that we need at least -- I'll call her Ms. Carolyn, because I can't pronounce her last name yet -- as she is the primary person who has been leading this review and her understanding of the process is critical. I simply don't think Ms. Wipper from the 30,000

foot level that she's at can satisfy that.

JUDGE BERLIN: Okay. So why don't we, then -well, I take that to mean that Google can withdraw Ms. Huang as a witness?

MS. SWEEN: If that's your preference, Your Honor, we're happy to do that.

JUDGE BERLIN: All right. So, we're going to hear about Ms. Haq by 2:00 tomorrow. Carolyn -- whose last name I'm having great difficulty with and, hopefully, she will educate me on Friday -- is going to have to come to the hearing. And Ms. Huang is excused. All right. So that should -- that should address the issue about the witnesses for the hearing, Mr. Pilotin, your motion.

> I think that deals with that MR. PILOTIN: Yes.

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1.	issue, Your Honor. I mean, one fine point on the matter, as
2	well, is that in addition to Ms. Wipper testifying as to the
3	relevance, we have also identified Ms. Suhr as the Deputy
4	Regional Director as someone who will be able to testify as
5	to statements that Google made during the on-site interview
6	during the limited on-site interview. And she I don't
7	think she was present. If Google's concern is that, as to
8	what it's stating, she was present during those interviews.
9	She is located here in San Francisco and she does not need to
10	make the trip, unless, as I understand it, Ms. Menchyk has
11	to.
12	JUDGE BERLIN: Who is this?
13	MR. PILOTIN: This is Deputy Regional Director Jane
14	Suhr.
15	JUDGE BERLIN: Okay. And she was on your witness
16	list as a potential rebuttal witness, anyway, right?
17	MR. PILOTIN: She was, Your Honor. We had split
18	her you're correct, Your Honor. And one of the topics we
19	did identify for Mr. Suhr was the issue regarding Google's
20	statements during the compliance evaluation.
21	JUDGE BERLIN: You know, if we had a lot of
22	discovery and depositions, Ms. Sween, it would be a lot
23	different. But given that you didn't get to do those things,
24	are you is it all the same to you if you question Ms.
25	Suhr, who was at the same meetings as Carolyn, because it

will save the travel expense? 1 2 MS. SWEEN: 3 preference. 4 5 6 I stated it before. 7 Я 9 10 that are really not in dispute. 11 12 13 14 15 16 17 18 issues for the hearing. 19 20 anyone help me out with these? 21 22 accurate, Your Honor. 23 MR. PILOTIN:

Your Honor, that would not be our Ms. Suhr was -- was involved in a very limited capacity and only at the on-site and Ms. Carolyn is a witness that Google feels strongly that we need for our case. JUDGE BERLIN: Okay. Well, the order will stay as I'm going to turn now to the request for admission and requests for admission are typically very valuable, because they do streamline the hearing by identifying issues Frankly, I have read the regulation about this request for admission -- these requests for admission more than once. And I find that incomprehensible, I regret to say. To say that you can serve requests for admissions 14 days before the hearing and the responses are due 25 days later does not seem useful if the point is to narrow the Have I read those regulations inaccurately? Can MS. SWEEN: I think you're reading of that is The OFCCP agrees, Your Honor, that is what the regulation says.

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JUDGE BERLIN: All right. But I do notice that the

parties agreed in Stipulation No. 31. That's something that addresses three or four of the requests for admission -- some of them, I don't think would help me much at all. example, how much money Google spends on meals for its employees. You know, if Google has to do that to be competitive in the market to get the best people, that just shows what a tough life Google has that they have to spend so much money on food.

So, I don't know what it proves one way or the And -- but I'm not going to get into these on a one-by-one basis. The way the regulation reads, which makes no sense to me, does not require an answer until 11 days after the hearing is over on a case where I have to have a decision out within 15 days.

So, it might have worked to everyone's advantage if these could have been answered. The hearing's in two days. They haven't been answered. The answers are not due, so the requests for admission are stricken, as they were untimely proprounded. That's my ruling on that.

All right. Let me just make sure -- Mr. Pilotin, I want to make sure and I think I understood from Ms. Sween, but I'll check with you, too. My understanding is that if I find that some of what is sought, OFCCP is entitled and some they are not entitled to, I may issue an order that orders what I conclude what OFCCP is entitled to and deny the rest.

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I am not required to simply grant everything or deny

2 everything.

And it seems to me that both parties agreed with that proposition in the briefs that I asked you to submit.

But if you don't agree with that proposition, this would be the time to say so.

MS. SWEEN: Yes, Your Honor, we agree with that proposition. The Court has absolute discretion in blue penciling the requests and making a determination request-by-request as to which ones of them meet the relevant standard and the reasonableness standard and which ones do not.

JUDGE BERLIN: Mr. Pilotin?

MR. PILOTIN: Yes, Your Honor. In terms of your granting in part and denying in part the requests, we agree that that's something that the Court can do. I think the disagreement between the parties was the amount of discretion that the Court has, but we do agree that the Court does have discretion.

JUDGE BERLIN: All right. Now, from some things I read in the pretrial statements, I just want to make clear for the record -- well, one thing I want to add to the comment about the procedural question of whether you can raise summary judgment motions on this expedited process, I just want to add this. Should it be litigated on appeal -- not that -- I mean, you would still have to reach the merits

of it. But I also think it's inappropriate to allow summary proceedings when discovery is not permitted. We routinely delay or deny motions for summary judgment for the moving party should that they need additional discovery in order to demonstrate a dispute.

And when we have a process that is not only very short, but does not permit depositions at all, except by permission of the ALJ, and does not allow for production of documents -- or interrogatories -- it's all the more reason why I should not be inferring that summary judgment is a procedure that's allowed, but, instead, should be inferring the other.

But having said that, when I read the pretrials, I just want to be clear about this. The summary judgment motion was denied. It was denied because I held that motions of that sort cannot be heard under the expedited procedure.

I also in <u>Dicta</u>, explained that I would have denied it, even if I reached the merits. But what I was addressing in -- as I did that -- was the case in the state that it was at on the record on summary judgment and without the benefit of any argument any party might raise at the hearing or in a closing brief filed after the hearing.

So just to be certain you understand, the summary judgment was denied, nothing has been decided in this except that the summary judgment should be denied. So if you have

some evidence you want to put on or something you want to 2 prove, do not assume you've already proved it. I think, you know, I think some of the repeating 3 comments in the prehearing statements that both sides 4 5 understand that, but I just want to make it clear for the 6 record that whatever burden is yours, you have that burden 7 And whatever proof is needed, you have nothing on the record so far, you must put it on the record at the hearing. 8 9 Any questions about that? 10 MS. SWEEN: Not from Google, Your Honor. 11 MR. PILOTIN: None from OFCCP, Your Honor. 12 We do have a couple of clarifications on the state 13 of the record at this point, Your Honor, if I may? 14 JUDGE BERLIN: Yes. 15 MR. PILOTIN: With respect to the stipulated facts, 16 those are part of the record without further evidence needed 17 to be submitted, correct? 18 JUDGE BERLIN: Yes. Let me just say right now, I 19 accept the stipulations of the parties, which are numbered 1 20 I'm going to identify the two documents that recite the stipulations, respectively as ALJ Exhibit 1 and 21 22 ALJ Exhibit 2, which, unless there's an objection, I'm going 23 to admit both of those into the record. 24 MS. SWEEN: No objection, Your Honor. 25 JUDGE BERLIN: So the stipulations are admitted and

those are on the record at this point.

MR. PILOTIN: Thank you, Your Honor.

The only other item that we had was we did receive responses to request for admission from Google -- responses to OFCCP's first set. We received those yesterday.

We did not identify them on the exhibit list, because we hadn't received them yet and I just wanted to see what would be the best way to put those into the record for the Court.

JUDGE BERLIN: Ms. Sween, any thoughts on that?

MS. SWEEN: To the extent that they feel that they need them, they can submit an amended exhibit list, I suppose.

JUDGE BERLIN: All right. Mr. Pilotin, so if you want to amend your exhibit list, you can add that as an exhibit and then just submit it with the rest of your exhibits on Friday morning.

MR. PILOTIN: Understood, Your Honor.

With respect to this RFA -- this set of responses, as well, we don't intend to proffer -- you know, offer them through a witness. And would it be best to -- you know, I don't want to ask counsel about this, but just to submit it and, like the Joint Exhibits, have it be admitted into evidence?

MS. SWEEN: Well, Your Honor, the problem is the

RFAs contain objections. So I don't know that it's proper to admit it into evidence.

JUDGE BERLIN: Did you -- anything that's objected to, Mr. Pilotin, I'm going to not -- I won't consider unless we discuss it at the hearing. So, I guess, what we should do is, you know, you can offer the response to request for admission as an exhibit and then at the hearing, identify which responses you would like me to consider as evidence. Maybe you want me to consider all of them, but maybe only some of them. And then for those that you want to be considered as evidence, if there is an objection, we'll go over the objections one-by-one and I'll rule on them.

MR. PILOTIN: Understood, Your Honor, we will do that.

JUDGE BERLIN: All right. I do want to understand, since, you know, I sat at the Eleventh Circuit EEO case when I discussed undue burden on summary judgment, I just want to be sure that both sides agree that there is no Ninth Circuit authority and no ARB authority addressing what amounts to undue burden in the context of an OFCCP pay proceeding of this kind.

Has anyone found any Ninth Circuit or ARB authority?

MS. SWEEN: We have not, Your Honor.

JUDGE BERLIN: Mr. Pilotin?

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MR. PILOTIN: We have not, either, Your Honor. There DC Circuit authority on this point and the only Ninth Circuit related to this point is that the Federal Rules of Civil Procedure -- those analyses don't apply to administrative subpoena proceedings.

That is not an OFCCP case, Your MR. PILOTIN: Honor, it's just -- because there aren't administrative subpoenas that are issued. It's just the analog that we've been discussing in terms of administrative subpoena proceedings being relevant -- or that analysis being relevant here.

JUDGE BERLIN: And is that on an OFCCP case?

JUDGE BERLIN: And that's the case you cited in your pretrial?

> That is correct, Your Honor. MR. PILOTIN:

JUDGE BERLIN: Okay. I'm assuming that Ninth Circuit law is controlling in this case. Does anyone have a different view on that?

MR. PILOTIN: OFCCP takes the position, Your Honor, that both the Ninth Circuit and the DC Circuit are controlling, because the -- to the extent that they're not inconsistent. Only because venues for an appeal in this case coming out of the ARB, if it were to go to District Court, it would be filed in either the District of DC or here in the Northern District of California.

JUDGE BERLIN:

Ms. Sween?

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I will start with the premise that MS. SWEEN: Ninth Circuit law definitely controls. And to the extent that an appeal is filed in the DC Court, I think -- I don't want to speculate and I'm sure Mr. Pilotin probably has a little bit more knowledge on this than I do. However, I think from a venue perspective, that's probably accurate.

JUDGE BERLIN: All right. Well, I have cases reviewed by the ARB all the time and the fact that it was reviewed by the ARB does not confer jurisdiction on the DC Circuit in any of the other cases. But I don't know whether there's some different rule that applies to OFCCP and although some of our statutes have been amended, there were statute like the Surface Transportation Assistance Act, where ALJs used to make only recommended decisions that had to be approved by the ARB, and still the jurisdiction for appeals to the Federal Courts were not to the DC Circuit unless the case was decided and arose out of Washington, D.C.

And cases, for example, coming out of Denver were reviewed in the Tenth Circuit. Cases coming out of San And so I don't know Francisco were reviewed in the Ninth. about this.

Mr. Pilotin, do you actually have authority that a review of my decision would ultimately be something where OFCCP could chose which circuit it wanted to file in?

MR. PILOTIN: On that point, Your Honor -- and we'd be happy to submit a short brief on this topic. And the issue would be here that for at least the OFCCP, as in the United States case, that an appeal would be from the ARB would be an appeal under the Administrative Procedure Act. It wouldn't be under another statute like the other statutes that you've mentioned.

And venue for the APA would be either here -either in the District Court here, in the Northern District
of California, or in the District of DC. And then,
ultimately, go up from there in the event that there's
further appeals.

MS. SWEEN: Your Honor, can I be heard on this?

JUDGE BERLIN: Yes.

MS. SWEEN: I think it's a little bit premature, because certainly if there is an appeal, you know -- if OFCCP were to lose -- I mean, sorry, if Google were to lose and if Google were to elect to appeal, we would certainly get choice of whether to file it here or whether to file it in DC. And, so, I think speculating about whether or not DC Circuit law would apply is premature, because certainly we would have the opportunity to file it in Northern District.

JUDGE BERLIN: Right. I take your point, but I'm still left having to choose which circuit is controlling.

Given that there's only very limited appellate case

decision on this precise question, I'm not sure I'm going to have an issue about which circuit to follow, because I don't know that I'll have anything out of either the DC Circuit or the Ninth. But if -- most of our cases are appealed directly to the Circuit Courts, but that's by the individual statute involved.

know, an appeal to the District Court might well be the correct path. In which case, if there was any decision on a case like this out of -- I'd still have to know to look to Northern California cases or District DC cases. So, I understand what you're saying. We might not know yet who will be reviewing it, but I still have to know where the controlling law is.

You know, maybe it won't make a difference in the end. But on the subject of the burden, the undue burden or unreasonable burden of the Defendant, is anyone aware of a Northern District California case?

MR. PILOTIN: Your Honor, with that, I -- off the top of my head, I'm not aware of a Northern District case.

As Google has suggested or indicated that they would have the appeal in either in DC or here in the Northern District. Which is why we cited to both in our briefing.

The DC Circuit does have quite a bit of case law on this point and there's nothing in the Ninth Circuit that's

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As to the Northern District having any sort of persuasive authority on this, that's something we can brief if the Court would want that briefing.

JUDGE BERLIN: Okay. But we are aware -- I mean there is the DC -- the District Court DC case that I've discussed already and the parties discussed on summary judgment, so I'm aware of that. And if appeal is to the District Court in these cases, unlike most of our cases, and if that could be an appeal to the District Court in DC, I guess it would be helpful to know that.

So, let's -- I would appreciate briefs from both sides on this subject, if possible. I'll set a deadline of Wednesday, a week from today, on the 12th, by 5:00, filed by fax.

MR. PILOTIN: And, Your Honor, just to clarify what precise question would you like the parties to answer in the I just want to make sure that we hit the target that you want us to hit?

Other than the Supreme Court, what JUDGE BERLIN: courts have controlling authority that is precedential in this case?

> Understood, Your Honor. MR. PILOTIN: Thank you.

Okay. So, Mr. Pilotin, I do want to understand -- first of all, let me ask, is either party -- or



JUDGE BERLIN:

1 maybe jointly -- are you submitting the Affirmative Action Plan as an exhibit? I didn't notice that on the list. 2 No, Your Honor, that is not on either 3 MR. PILOTIN: 4 party's exhibit list. 5 JUDGE BERLIN: Okay. And was there some sort of 6 imbalance that Google found when they did the Affirmative 7 Action Plan that imposes some requirement on them to take affirmative steps or to take action aimed at certain goals 8 9 and time tables? 10 MS. SWEEN: 11 12

That's not a question I'd be prepared to answer at this time, Your Honor. But I can certainly get back to you on that.

Is there -- can you help me understand a little bit what would be helpful to the Court to understand the answer to that question?

JUDGE BERLIN: Well, it could be the Affirmative Action Plan that Google submitted might have found that there's no adjudicated history of discrimination at Google, that there's no industry-wide discrimination in the industry Google is in. And after doing a careful study of Google's work force, that there is -- and a study consistent with the regulation, that there is no imbalance in any of the terms and conditions of employment based on one of the characteristics that is forbidden under the Executive Order or the two statutes. In which case, I'm not sure what

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obligations Google undertook in the Affirmative Action Plan that OFCCP is reviewing.

Normally, there has to be some reason to undertake affirmative action steps before a company is required to do So, you know, there's the non-discrimination provision in the Executive Order. So that has nothing to do with the Affirmative Action Plan. And then there's the Plan, which you must submit, but not all plans actually require the employer to take any affirmative steps aimed, for example, and eliminating or reducing imbalances in compensation that appear to be based on factors, you know, such as gender or national origin or race. So, I don't know what's in the AAP.

Mr. Pilotin, is this investigation based simply on the non-discrimination provision or is it also based on the AAP?

MR. PILOTIN: It's both, Your Honor. What this basically is an audit of whether or not Google has complied with the non-discrimination provisions and an evaluation of Google's AAP. And it's -- I guess you could say, yes, its progress or whether or not it's fulfilling the terms of its So it is both, and that's spelled out in the regs on compliance evaluations under Section 16.120(a) under Title 41 of the CFR.

JUDGE BERLIN: Well, I understand it can certainly concern both. But if the Affirmative Action Plan doesn't

require Google to do anything, then the evaluation would have to be focused mostly on does the Affirmative Action Plan need to be revised, not are they failing to take steps aimed at reducing an imbalance that's discriminatory. Right?

MR. PILOTIN: My understanding, Your Honor, is that these are two separate issues -- two different steps and two different analyses as part of the compliance evaluation.

One question is: Is compliance with the non-discrimination provisions? And another analysis is Google's compliance with its own AAP?

JUDGE BERLIN: Right. And that's what I'm asking.

Is this case -- is OFCCP evaluating and reviewing both of those in this particular case? I mean, obviously, they're authorized to review them both. But in this particular case, are they evaluating or reviewing both of those or just the non-discrimination provision?

MR. PILOTIN: Understood, Your Honor. I think this gets to your question. The request at issue in this case primarily goes to a non-discrimination analysis that OFCCP is undertaking. And basically what the analysis is whether or not Google pays its employees in a non-discriminatory fashion? So it is going to that broader issue of is Google complying with its non-discrimination obligation?

JUDGE BERLIN: Okay. I appreciate that very much.

The only issue that I have is your use of the word

"primarily." So I don't want you to wiggle out of this. Is that what OFCCP is doing? Because I have to decide whether the materials sought are relevant to what OFCCP is doing.

And so I'm asking you what is it doing? And if it is doing a compliance review on the non-discrimination, but not the Affirmative Action Plan, then I don't need to look at the Affirmative Action Plan at all. And no one seems to be offering it as an exhibit.

But if it's doing a compliance review that relates to the Affirmative Action Plan and, for example, the Affirmative Action Plan has no requirements related to compensation, I would want to know those. So, can you take the word "primarily" out of your statement and be satisfied with that? Or do you need to do something else?

MR. PILOTIN: Unfortunately, I can't take it out, Your Honor, because the Affirmative Action Plan and the non-discrimination do go hand-in-hand in terms of, yeah, the Plan does provide that there won't be any sort of discrimination along the lines of -- you know, of what is impermissible. So, they do go hand-in-hand.

But the issue here is is the issue of whether or not Google discriminates or not -- whether Google discriminates in its compensation practices, which goes to its non-discrimination obligation. However, since the two do go hand-in-hand, I can't wholly preclude the relevance of the

AAP and say that, you know, the materials that are being 1 requested as part of this upcoming hearing isn't relevant to 2 the AAP at all. 3 That said, as we know, the AAP isn't part of the 4 exhibit list and, you know, our intent is to make our case 5 6 without it. 7 JUDGE BERLIN: All right. Well, since you're making your case without the -- and putting on the record the 8 Affirmative Action Plan, I just want you to appreciate that 9 almost certainly, I will look to see whether the information 10 that OFCCP is requesting is something relevant to a 11 compliance review of the Affirmative Action Plan. 12 Understood? 13 I understand your guidance, Your 14 MR. PILOTIN: 15 Honor. JUDGE BERLIN: Well, it's not guidance. 16 If you don't put on any evidence of what the 17 Affirmative Action Plan requires, I will not find that the 18 requested information relates to the Affirmative Action Plan. 19 20 Understood, Your Honor. MR. PILOTIN: JUDGE BERLIN: Okay. All right. 21 So, during the -- on the summary judgment, Google 22 asserted that they had already asserted a million dollars --23 24 or, I'm sorry, that it would cost a million dollars to summarize the 54,000 job interviews. 25

Ms. Sween, is that referring to a past expenditure?

Because I'm not seeing what in OFCCP's request would require

Google to summarize job interviews.

MS. SWEEN: So, to back up just a little bit, the total -- the total cost to date is somewhere around a \$500,000 figure.

JUDGE BERLIN: Okay.

MS. SWEEN: The additional spend is what we anticipate Google needing to spend in order to extract the information from the interview notes that the OFCCP has asked us to provide and summarize that information. And, you know, without getting too far ahead of it, Your Honor, just to give you some context is responding to the OFCCP to date, Google did -- was required to actually build certain tools, because the platform that they have was not able to extract the information that OFCCP was requesting.

So a lot of the bulk of the cost is around the engineering of having to re-tool the platforms and come up with different data retrieval systems.

So we don't know right now how much it would cost to continue to go down this road, but experience tells us it has already cost approximately \$500,000, total. I'm not saying that that's what the engineering cost, but that's the total cost. So that's our best estimate of what it would cost should we need to create additional tools, collect the

data, review the data, redact the data, and produce the data. 1 JUDGE BERLIN: Okay. All right. 2 I actually have, I assume, several things left 3 here, but I am approaching an end to this. And I do have 4 some questions. 5 So, on the request for contact information, I keep 6 seeing reference to "name, address, telephone, email, and 7 other contact information." What is the "other contact 8 information that's sought beyond "name, address, telephone, 9 and email"? Mr. Pilotin? 10 MR. PILOTIN: We would be satisfied with those, 11 Your Honor, the personal email address, the name and phone 12 number and address would be fine. 13 JUDGE BERLIN: Okay. I also noted in OFCCP's 14 pretrial that they included among the data points other 15 factors Google uses for compensation, kind of a note to 16 Google, in case they wanted to submit something voluntarily. 17 But I don't know whether Google appreciates that or not. 18 But, in any event, since it appears to be nothing OFCCP is 19 actually requesting, will OFCCP withdraw that request? 20 MR. PILOTIN: Yes, Your Honor. I mean, you 21 characterized that absolutely correctly. 22 JUDGE BERLIN: Okay. One of the things OFCCP wants 23 to establish is Google's wherewithal to bear the burden of 24 producing all of the materials that OFCCP requests and OFCCP 25

is going to submit an SEC Form 10K, so that would seem to have quite a lot of information on it.

It seems like OFCCP also wants to offer some testimony explaining the 10K or explaining about what Google's profits are or -- I don't know what. But is that really needed if we have the 10K?

MR. PILOTIN: Yes, Your Honor. What we would want to do -- and we don't anticipate it being long testimony, but we would need to walk to the 10K to, you know, focus on the relevant metrics that are on the 10K that bear on the undue analysis and just to discuss generally the -- you know, from OFCCP's perspective, in light of the case law from the DC Circuit, whether or not Google does have the undue burden and meets the legal test to show that.

JUDGE BERLIN: Okay. I think, you know, OFCCP has expressed repeatedly an interest in getting the hearing concluded on Friday. And understood when we set the hearing to Friday to accommodate OFCCP's witness, that if it doesn't end on Friday, there's going to be an adjournment that would be some length of time. We're not going to resume on Monday.

So, if the 10K will cover the issue and if in your closing argument you can cite to the things on the 10K that you want me to consider, I would give some real thought to that, because the more you do to streamline the hearing and not duplicate something that you can argue without putting on

an expert who will then have to be cross-examined, you know, 1 I'm not going to exclude the witness. I leave that to OFCCP, 2 but I don't really know once you've established -- once the 3 10K is admitted and you can argue about what it shows, I 4 would think that would be enough. But you're more familiar 5 6 with it than I am, and if you elicit it, I'm not going to 7 exclude it. So, a word to the wise. Understood, Your Honor. Thank you. 9 MR. PILOTIN: 10 JUDGE BERLIN: 11

I'll probably allow on an expedited basis briefing on the subject. It shouldn't come as a surprise to anyone that it's an issue.

As far as the exhibits are concerned, I will not allow a blanket order sealing all of the exhibits. exhibits, we can seal in their entirety, maybe. I mean if there's an adequate showing. Others, we might seal the exhibits and allow the introduction of a redacted version. because we would have to identify what must be redacted. some exhibits cannot be either redacted or sealed. So, it's not going to be that simple.

I will tell you we have been receiving quite a lot of FOIA requests about the case. We have requests for the entire case file. So, as far as I'm aware, we have not yet responded to any of those requests. And I am inclined until the issue can be resolved to seal the record, but only

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temporarily until it can be decided kept sealed and what should not be kept sealed.

And having said that, my order does not prevent the Department from materials requested under the Freedom of Information Act. So, a FOIA officer will review the matter to decide whether it comes within any of the exceptions to FOIA.

So, ultimately, once the decision becomes final and all of the appeals are concluded, I might be willing to entertain a motion to withdraw from the record certain unredacted exhibits, but I can't do that, obviously, until all of the appeals are exhausted, because anyone doing an appeal has to have access to a full record. So this is really a very difficult thing, because my authority is limited, in that this is an administrative agency and I am a ALJ, but I cannot prevent the Department from responding to a FOIA request.

Now, having said all of that, you know, FOIA sets a deadline for producing deadlines and we try diligently to comply with those requirements, but we have to handle them in the ordinary course. And, unfortunately, one of our Judges recently retired and there's a hiring freeze. So back logs are developing in this office and I must say I have very limited time to respond to FOIA requests. So, I'll, of course, do my best to respond to them timely, but it could

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MS. SWEEN: So, Your Honor, just for clarification, did you --

JUDGE BERLIN: You can file a motion -- hopefully a joint motion for an agreed protective order and I'll review You can do that at any time. If you cannot reach an that. agreement, then Google should file a motion on its own and I will establish a briefing schedule for an opposition. will be a very short schedule. So, hopefully, your discussions in advance will isolate whatever issues there are and Mr. Pilotin will be in a position to respond quickly.

Now, the hearing on Friday is a public hearing. So, we might have to deal with anything that's confidential at the time of the hearing. If Google contends that something is a trade secret, you know, I'll have to deal with Hopefully, we won't need to actually that at the time. discuss on the record the content of anything that might be a trade secret. You know, if I have a copy of it in front of me, I can read it, and maybe we can find a way to address it if the public is present.

The case has received coverage, but most of it has been in labor law publications. We've had some other inquiries besides this, but I don't know whether we can anticipate any press will be at the hearing or not.

> Your Honor, on this point, I mean we MR. PILOTIN:

1 haven't heard -- this is the first time we're hearing of this 2 being an issue. So, we will -- you know, I'm not sure what the briefing schedule is going to look like, but we are, you know, preparing for the hearing at this point and probably can't accommodate filing another brief on this point, specifically. 7

We -- you know, in terms of the Agency's view generally, I mean we, typically, in here don't agree to protective orders, only as the Court noted that we're bound by FOIA and the Privacy Act and those are our guiding principles at this point.

JUDGE BERLIN: Well, it's good that you have principles and you're going to have to apply them to this case, because if that's all you say, I'm going to be inclined to give Google whatever they want. I will review it. aware of the law in this area. The public has a right to So, I am not going to give Google carte blanche.

But for the Solicitor of Labor to say, "We take no position on whether the records of these hearings are public and to what extent," you know, if you take that position I will certainly report that in whatever ruling I make. will give your views the weight they're entitled to.

MR. PILOTIN: Understood, Your Honor. And we do have a position that these are open, public hearings, as well. But we'll respond in a motion or whatever Google sends

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JUDGE BERLIN: All right. I'll look forward to that.

Anything else for the pretrial today, besides -- I do have one more thing I want to talk about. So is there anything else from the parties?

MS. SWEEN: Your Honor, I want to be mindful and respectful of Your Honor's time during the hearing process.

Again, I don't know if this is the appropriate place to raise it or not, so I leave that to you. We -- Google anticipates that OFCCP is going to be relying extensively on the deliberative process privilege, as well as the investigatory files privilege. And I'm questioning whether or not it makes sense for us to have a discussion about the Court's position on that and whether OFCCP has made the requisite showing in order to rely on that privilege now, so that we aren't dealing with it during the hearing.

JUDGE BERLIN: All right. You have addressed that in your briefs, both of you. Is there anything more than you wanted to add to what you put in your briefs on this subject?

MR. PILOTIN: Not from OFCCP, Your Honor.

JUDGE BERLIN: Ms. Sween?

MS. SWEEN: No. It's everything we would like the Court to know is in our briefs.

JUDGE BERLIN: All right. Let me explain how I

look at this. I try to give you an indication of this in the summary judgment, which is one of the reasons I bother to talk about it in the summary judgment.

I view my role in determining whether the information sought is within the power of OFCCP and is relevant and is not unduly -- well, let's leave that part out -- and is relevant as being narrowly circumscribed.

I believe that I must defer to OFCCP unless it's something sought that plainly appears to have no bearing on anything that OFCCP is committed to investigate as part of a compliance review. And I have looked at the list of data points that they have asked for. And based on my experience with cases, I don't really have any difficulty seeing developments of the data points they are asking for.

With respect to those data points, I'm not going to just flat out foreclose any questions. But if there are objections based on relevance, I'm going to have a tendency to sustain those objections because I don't think I should scrutinize beyond whether on its face the requests are -- can be said to be plainly outside what is relevant to the permitted investigation.

So, I don't know how much into the deliberative process we're going to get. I do not believe I have the authority to order OFCCP to give interim findings to Google to justify either to Google or to my office, the Judge, why

they want these. And I find that the motivation for their inquiries is not the issue. The issue is whether it is within their authority, whether it's relevant, and whether it creates an unreasonable burden on the Employer. So, I'm not going to be inclined to allow evidence

going to such questions as, "Why do you want something?"

However, if a request appears to be duplicative of another request or if Google wants to offer evidence to show why something is plainly useless, which, you know, for example, the statistical expert is going to do that, I will certainly listen.

But, then, it might be that OFCCP will conclude that it needs to say something more about why it wants something in order to overcome the evidence that is being offered. If OFCCP does that and if they open the door, then I'll allow questioning on it.

But I hope this is helpful. I do not envision this as a hearing in which I second quess the deliberations of interim views of OFCCP. It's not about their motivation, it's about what exactly are they asking for? Is it within their authority? And there doesn't seem to be much question Is it relevant? And there is some question about that. And does it create an undue burden? And there's about that. some question about that, as well.

So does that answer the question?

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MS. SWEEN: I think so, Your Honor. And Google does not intend to ask any questions derived at motivation. Our questions are going to be focused more on what information these witnesses have and understand and from that information, do they understand that what they're asking for is not helpful to the analysis -- or not helpful, but it wouldn't shed any more light on the analysis.

So, from the get go, we haven't been interested in the motivation. The reason why we are asking, "Can you let us know why," is not to get at their deliberative process, but so that we can help explain to them that what they're asking for is not pertinent to what they need and, therefore, is unduly burdensome.

And I understand I'm pre-arguing the case, and I don't mean to do that. But just to answer your question, our questions are not going to be aimed at the why, except to the extent that it would help the Court understand that these individuals don't understand, still, that what they are asking for sheds no light on their ultimate goal.

JUDGE BERLIN: Well, if you put on an expert who says that what they're asking for will not be helpful to them and they put on no evidence to the contrary, that's what the record will look like. So, you know, I'm not telling you what results that will achieve, but that's what the record will look like.

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And, Your Honor, I just want to mention MS. SWEEN: -- because I don't know if Your Honor is aware of the new McClain versus EEOC case that came out of the Supreme Court on Monday?

I received a copy of that and I JUDGE BERLIN: appreciate that it was filed with me. So, I've not reviewed it and I will review it. But thank you for alerting me.

> MS. SWEEN: All right. Thank you, Your Honor.

JUDGE BERLIN: All right. So, you know, in talking about this a little more, I don't want to aim the parties at anything in particular, but the summary judgment should be something of a roadmap of some of the concerns I have, such as why go back to 1998, which is one of the defense arguments, and another question was some sense of why we need the second snapshot, plus the history of all of the employees shown in the first snapshot.

So, you know, the second snapshot has more criteria addressed, then the compensation history and the rest of the employment history that OFCCP is seeking based on the first snapshot. But do we really need both the histories and two snapshots? So that's a question that I have.

And now that we've narrowed down what the contact information is, I think that's helpful.

Those were some of the considerations. mentioned other things on the summary judgment and, you know,

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you're free to raise whatever issues you like. But, I don't see, really, where any of that necessarily would get into OFCCP's deliberations for their interim conclusions or anything like that.

So, we'll just -- I think we're going to have to see how it plays out. But, you know, by and large, I'm looking at these lists -- this list of categories and I kind of see why they all make sense. So I'll be looking for some sort of indication of why I wouldn't find that.

So, let me turn to my last point, having made that additional statement, and in every prehearing conference I ask the parties about settling their dispute. Obviously, the parties here have both made significant efforts to resolve disagreement -- this disagreement.

Google has offered to produce some of the requested information. I gather that OFCCP has made some adjustments, I don't really know. And, of course, my views might be in the end, they might have very little to do with what the ultimate order will be, to kind of have all of the various appellate bodies say.

But I think I will get some deference on review,
we'll see. And you have some of my thoughts on some of these
things. And I think it might be useful, given some of the
questions that I've asked, what I've wrote on summary
judgments and the comments I've made today, for you to take

one more look at whether this is something you can't resolve 1 2 voluntarily. You know, I perceive good faith efforts on the 3 parts of both parties -- maybe you don't agree with me. 4 that's from my very limited exposure, how it looks to me. 5 And I encourage you to go over these, you know, and see if 6 something can be resolved and let me know. 7 So, I don't think I will ask you any questions 8 about that and I don't think I'm going to order anything, but 9 I do encourage you -- even though you're busy preparing -- to 10 have at least one last conversation. 11 Of course, you guys can settle at any time, even 12 after you get my orders. So, that's up to you. 13 Anything else for today? All right. 14 Nothing from Google, Your Honor. MS. SWEEN: 15 You've been very helpful. Thank you. 16 Nothing from OFCCP, Your Honor. MR. PILOTIN: And 17 18 thank you, as well. JUDGE BERLIN: All right. I thank you all and 19 unless I hear that it has resolved, I'll see everyone on 20 Friday morning, 9:00, for the hearing. And, Ms. Sween, if 21 you need access to the courtroom to set up anything, please 22 just let us know as soon as you can. 23 Thank you very much, Your Honor. MS. SWEEN: 24 JUDGE BERLIN: All right. Thank you all. 25

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REPORTER'S CERTIFICATE 1 TITLE: Office of Federal Contract Compliance Programs, et 2 al., vs. Google, Inc. 3 CASE NUMBER: 2017-OFC-00004 4 OWCP NUMBER: N/A 5 DATE: April 5, 2017 6 LOCATION: SAN FRANCISCO, CALIFORNIA 7 8 9 10 11 This is to certify that the attached proceedings 12 before the United States Department of Labor, were held 13 according to the record and that this is the original, 14 complete, true and accurate transcript which has been 15 compared to the reporting or recording accomplished at the 16 hearing. 17 18 19 20 21 DATE SIGNATURE OF REPORTER 22 23 24